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## 7226001-Compliance Institute of South Africa

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– FAIS Guidelines  
– Generally Accepted Compliance Practice framework

### • Training

The Institute currently offers two courses, **Introduction to Compliance** and a **Monitoring** course. In addition, it has been instrumental in the development of the Certificate in Compliance Management offered both by the University of Johannesburg and the University of Cape Town, as well as the Post Graduate Diploma in Compliance offered by the University of Johannesburg.

### Spokespersons

The Institute has identified two primary media spokespersons:

**Tim Howse, Chairman**

**Julie Methven, Chief Executive Officer**

For further information on the Compliance Institute visit [www.compliancea.com](http://www.compliancea.com) or contact Julie Methven, CEO, at 011-642-7974; [Methven@compliancea.com](mailto:Methven@compliancea.com)

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### The Compliance Institute of South Africa

The Compliance Institute of South Africa is a non-profit, Section 21 organisation with established in 1997. It is a recognised body for compliance

## The Compliance Institute of South Africa

The Compliance Institute, which was established in 1997, is the **recognised body** for compliance professionals, and currently has approximately **800 members**. It is a non-profit, Section 21 organisation. While its roots are in the financial services industry, it also has a growing membership of compliance officers from other industries.

### Current Initiatives

#### • Professionalism

The Compliance Institute is at the forefront of developing a formal qualification process for compliance officers in South African. *Further information will be released to the media on this initiative shortly.*

#### • Generally Accepted Compliance Practice Framework

The Institute has developed a Generally Accepted Compliance Practice Framework, a set of standards that will act as a benchmark for compliance best practice. The Framework comprises principles, standards and guidelines that organisations and their Compliance Officers should apply. *It is the first of its kind in the world.* The completed framework is set to be launched in mid-August. *Further information will be released to the media timeously.*

#### • Standards

The Institute has taken great strides in developing compliance standards that align, and sometimes surpass, international best practice. Tools have been developed for members as follows:

- Members' Handbook
- Monitoring Guidelines